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Technology Center 1600 Assistant Commissioner for Patents Washington, D.C. 20231

on 12/09/02

TOWNSEND and TOWNSEND and CREW LLP

By:\_\_\_\_\_

**PATENT** 

Attorney Docket No.: 015270-006446US Client Reference No.: 228-US-NEW2C6

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John P. Anderson et al.

Application No.: 09/724,569

Filed: November 28, 2000

For: BETA-SECRETASE ENZYME COMPOSITIONS AND METHODS

Examiner: Unknown

Art Unit: 1632

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

**§1.98** 

Technology Center 1600 Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/471,669, filed December 24, 1999 (Attorney Docket No. 015270-006430US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Cite no. 78 is a copy of the International Search Report issued for the corresponding International application, PCT/US00/03819. Cite No. 65 is a copy of a press release announcing that Elan Corporation, plc and Pharmacia Corporation entered into a research collaboration focused on the discovery of small molecule inhibitors of beta-secretase for the

**PATENT** 

John P. Anderson et al. Application No.: 09/724,569 Page 2

treatment of Alzheimer's disease. Elan Pharmaceuticals, Inc. assignee of the instant application, is affiliated with Elan Corporation, plc.

Applicants also cite commonly owned copending applications and issued patents directed to related subject matter:

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08/480,498, filed 06/07/95, issuing as US Patent 5,744,346 on April 28, 1998; 08/485,152, filed 06/07/96, issuing as US Patent 5,942,400 on August 24, 1999; 08/660,531, filed 06/07/96, issuing as US Patent 6,221,645 on April 24, 2001; 09/054,334, filed 04/02/98, issuing as US Patent 6,329,163 on December 11, 2001; 09/404,578, filed 09/23/99; 09/471,669, filed 12/24/99; 09/501,708, filed 02/10/00; 09/723,722, filed 11/28/00; 09/724,568, filed 11/28/00; 09/724,566, filed 11/28/00; 09/724,569, filed 11/28/00; 09/724,571, filed 11/28/00; 09/724,571, filed 11/28/00; and, 10/099,922, filed 03/15/02;
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Applicants further cite the following commonly owned abandoned applications directed to related subject matter:

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60/114,408, filed 12/31/98;
60/119,571, filed 02/10/99;
60/139,172, filed 06/15/99;
60/168,854, filed 12/02/99; and,
09/730,329, filed 12/04/00.
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As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference

John P. Anderson et al. Application No.: 09/724,569

Page 3

should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Rosemarie L. Celli Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

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Substitute for form 1449A/PTO			C mplete if Known	-9	30		
				Application Number	09/724,569	70	
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Sheet	1	of	6	Attorney Docket Number	015270-006446US		

			U.S. PATENT D	OCUMENTS	
		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	6,361,975	03-26-2002	Christie, et al.	
	2	6,358,725	03-19-2002	Christie, et al.	RECEIVED
	3	6,319,489	11-20-2001	Powell, et al.	25044
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	5	6,313,268	11-06-2001	Hook	TECH CENTED 1000/0
	6	6,245,884	06-12-2001	Hook	TECH CENTER 1600/2
	7	6,245,964	06-12-2001	McConlogue, et al.	
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	23	5,424,205	06-13-1995	Dovey, et al.	
	112	09/869,414		Gurney, et al.	
	90	09/794,927		Gurney, et al.	
	91	09/794,925		Gurney, et al.	

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	INFO	DRMAT	ion dis	CLOSURE	Filing Date	November 28, 2000	RECEIVED			
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	Sheet	2	of	6	Attorney Docket Number	015270-006446US	TECH CENTER 1600/2900			

	U.S. PATENT DOCUMENTS								
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	89	09/795,847	NA	Gurney, et al.					
	92	09/794,748		Gurney, et al.					
	88	09/794,743		Gurney, et al.					
	111	09/681,442		Gurney, et al.					
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	96	09/548,376		Gurney, et al.					
	24	09/548,373		Gurney, et al.					
	99	09/548,372		Gurney, et al.					
	94	09/548,370		Gurney, et al.					
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	100	09/548,368	1-4	Gurney, et al.					
	98	09/548,367		Gurney, et al.					
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	27	09/404,133		Gurney, et al.					
	28	09/277,229		Citron, et al.					
	29	60/210,292		Hong, et al.					
	30	60/178,368		Lin, et al.					
	31	60/177,836		Lin, et al.					
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	34	60/141,363		Lin, et al.					
	35	60/139,172		Anderson, et al.					
	36	60/119,571		Basi, et al.					
	37	60/114,408	-	Basi, et al.					
	38	60/101,594		Gurney, et al.					

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO					C mplete if Kn wn				
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					Art Unit	1632	DEC 1 1 2002		
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	Sheet	3	of	6	Attorney Docket Number	015270-006446US	TECH CENTER 1800/2900		

					NT DOCUMENT	S		
Examiner Initials*	Cite No.1	Country Code	Foreign Patent Documen	Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T®
-	39	EP_	EP 0 855 444	A2	07-29-1998			
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	49	PCT	WO 01/36600	A1	05-25-2001		_	
-	50	PCT	WO 01/31054	A1	05-03-2001			
	51	PCT	WO 01/29563	A1	04-26-2001			
	52	PCT	WO 01/00665	A2	01-04-2001			
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	54	PCT	WO 99/64587	A1	12-16-1999			
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	57	PCT	WO 99/34004	A2	08-07-1999			
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	61	PCT	WO 98/21589		05-22-1998			
	62	PCT	WO 98/13488		04-02-1998			
	63	PCT	WO 98/11236		03-19-1998		· · · · · · · · · · · · · · · · · · ·	
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<del></del> -	56	PCT	WO 96/40885	A2	12-19-1996			
	58	PCT	WO 96/31122	A1	10-10-1996			
,	102	PCT	WO 96/20725	A2	07-11-1996			
Examiner Signature					Date Considered			

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO Complet if Known 09/724,569 Applicati n Number INFORMATION DISCLOSURE Filing Date November 28, 2000 RECEIVED STATEMENT BY APPLICANT John P. Anderson **First Named Inventor** 1632 Art Unit DEC 1 1 2002 (use as many sheets as necessary) **Examiner Name** Unknown Attorney Docket Number 015270-006446US Sheet TECH-CENTER 1600/29

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т:
	104	Baldwin, et al., Crystal structures of native and inhibited forms of human cathepsin D: Implications for lysosomal targeting and drug design, <i>PNAS USA</i> , 90:6796-6800 (1993).	
	105	Brown, et al., Evaluation of Cathepsins D and G and EC 3.4.24.15 as Candidate β-Secretase Proteases Using Peptide and Amyloid Precursor Protein Substrates, Journal of Neurochemistry, 66: 2436-2445 (1996).	
a	106	Chevallier, et al., Cathepsin D displays in vitro $\beta$ -secretase-like specificity, <i>Brain Research</i> , 750:11-19 (1997).	
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	70	Hirosawa, et al., Characterization of cDNA Clones Selected by the GeneMark Analysis from Size-Fractionated cDNA Libraries From Human Brain, <i>DNA Res.</i> , 6(5): 329-336 (October 29, 1999).	

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Signature	Considered	J

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known		
	Applicati n Number	09/724,569		
INFORMATION DISCLOSU	Filling Date	November 28, 2000	RECE	IVED
STATEMENT BY APPLICA	NT First Named Inventor	John P. Anderson	- 1-0-	
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Sheet 5 of 6	Attorney Docket Number	015270-006446US	TECH CENTER	1600/290

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	71	Hussain, et al., Identification of a Novel Aspartic Protease (Asp 2) as $\beta$ -Secretase, Molecular and Cellular Neuroscience, 14: 419-427 (1999).	
	72	Kang, et al., The Precursor of Alzheimer's Disease Amyloid A4 Protein Resembles a Cell-Surface Receptor, <i>Nature</i> , 325: 733-736 (February 19, 1987).	
	73	Kitaguchi, et al., Novel Precursor of Alzheimer's Disease Amyloid Protein Shows Protease Inhibitory Activity, <i>Nature</i> , 331: 530-532 (February 11, 1988).	
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	110	Schechter, et al., On the size of the active site in proteases. I. Papain, Biochemical and Biophysical Research Communications, 27:157-162 (1967).	

Examiner	Date	
Signature	Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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				Art Unit	1632	DEC 1	1 2002
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Sheet	6	of	6	Attorney Docket Number	015270-006446US	TECH CENT	R 1600/2900
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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	,
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	80	Seubert, et al. Secretion of $\beta$ -amyloid Precursor Protein Cleaved at the Amino Terminus of the $\beta$ -amyloid Peptide, <i>Nature</i> , 361: 260-263 (January 21, 1993).	
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	84	Vasser, et al., β-secretase Cleavage of Alzheimer's Amyloid Precursor Protein by the Transmembrane Aspartic Protease BACE, <i>Science</i> , 286 (5440): 735-41 (October 22, 1999).	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.